## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Jiro MINABE et al.

Application No.: New U.S. Application

Filed: September 11, 2003 Docket No.: 117109

For: OPTICAL RECORDING MEDIUM AND OPTICAL RECORDING METHOD

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- 2. Relevance of the non-English language references is discussed in the present specification.
- 3. The present application was filed or entered the U.S. National Stage of the PCT after June 30, 2003. In accordance with the June 11, 2003, Notice waiving the requirements of 37 C.F.R. §1.98(a)(2)(i), copies of any U.S. patents and patent application publications are not attached.
- 4. English-language Abstracts of the non-English language references are attached hereto.
- 5. Japanese Patent Application No. 2000-66565 corresponds to U.S. Patent No. 6,317,404.

Respectfully submitted,

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Date: September 11, 2003

JAO:TJP/tal

Alexandria, Virginia 22320 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461

Sheet	1	of	i

Form PTO-1449 (REV. 8-83)	Form PTO-1449 US Dept. of Commerce (REV. 8-83) PATENT & TRADEMARK OFFICE			ATTY DOCKET NO. 117109		APPLICATION NO. New U.S. Application				
INFORMATION DISCLOSURE STATEMENT										
(Use several sheets if necessary)			APPLICANT(S) Jiro MINABE et al.							
			FILING DATE September 11, 2003		G	GROUP				
		U.S.	PATI	ENT DOCU	MENTS			<u> </u>		
EXAMINER INITIAL		DOCUMENT NUMBER	]	DATE	NAM	Е	CLASS	SUB CLASS		
	1	5,671,073	23SEP1997		PSALTIS et al.					
	2	6,317,404 B1	13NOV2001		KAWANO et al.					
						-				
		FOREIG	N PA	TENT DO	CUMENTS					
		DOCUMENT NUMBER	Ī	DATE	COUNT	RY	CLASS	SUB CLASS		
	3	JP-A 2000-66565 w/abstract	03N	1AR2000	JAPAN					
	1									
		OTHER DOCUMENTS (Inc	ludin	g Author, T	Citle, Date, Pertinent Page	es, etc.)				
	4	Demetri PSALTIS et al., "Holographic storage using shift multiplexing," OPTICS LETTERS, Vol. 20, No. 7, pp. 782-784								
	(April 1, 1995)									
	5	George BARBASTATHLS et al., "Shi	ft Mu	ltiplexing v	vith spherical reference v	vaves," APPLIE	D OPTICS, Vol	. 35, No.		
	14, pp. 2403-2417 (May 10, 1996)									
	6	Katsunori KAWANO et al., "Holographic recording and retrieval of polarized light by use of polyester containing								
		Cyanoazobenzene units in the side chain," OPTICS LETTERS, Vol. 24, No. 18, pp. 1269-1271 (September 15, 1999)								
EXAMINER	EXAMINER DATE CONSIDERED									
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										

Date: September 11, 2003